

**Summary upon the 12. WIPO-PatCom Meeting at Geneva
February 25, 2014, 10:00 am – 12:30 pm**

Draft 1.1

WIPO Participants:

Mr. Christophe Mazenc, Head Information Technology Support Section, Global IP Information Service;
Paul Halfpenny, Councillor, Global Infrastructure Sector;
Mr. Ángel López Solanas, Head, Standards and Documentation Service;
Mr. Andrew Czajkowski, Global IP Infrastructure Services;
Ms. Sandrine Ammann, Marketing and Communications Officer, Office of the Assistant Director General;
Mr. Antonios Farassopoulos, Head, International Classifications and WIPO Standards, TOP
Mr. Iustin Diaconescu, Global IP Information Service;
Ms. Magdalena Zelenkovska, Global IP Information Service;

PatCom Participants:

Ms. Martine Massiera Della Valle, Questel, PatCom President-Elect, F; (+ASPI)
Mr. Armin Förderer, FIZ Karlsruhe, PatCom Executive Committee, DE;
Mr. Olivier Huc, Minesoft, UK; (+ASPI)
Mr. Jurjen Dijkstra, LexisNexis, PatCom Executive Committee, NL; (+ASPI)
Mr. M. De Bruin, LexisNexis, NL; (+ASPI)
Mr. Paul Peters, CAS, PatCom Executive Committee, DE;
Prof. Dr.-Ing. Georg F. Schultheiss, PatCom Honorary Secretary, DE.

1. Welcome by the Chair of the Meeting

Christoph Mazenc opened the session with PatCom and welcomes all participants. All participants introduced themselves shortly. *Martine Massiera Della Valle* as PatCom President-Elect leads the PatCom delegation.

2. Approval of the Agenda

The agenda (see **Appendix 1**) was unanimously approved.

3. Approval of the Minutes of the last Meeting

The presented version of the minutes of the 2013 meeting was unanimously agreed.

4. Participants Registration

Paul Halfpenny passed a respective list around.

5. Points from the last Meeting

- **ftp-Server Installation at WIPO, New WIPO-Basis, Status**

Christoph Mazenc explained the actual contract situation and distributed a list of National Collections (see **Appendix 3**). After a short discussion the PatCom members selected 5 important countries of interest for further actions. The interested

PatCom Members or PatCom as association will contact those offices to ask for an official letter to WIPO as amendment to the existing contract with WIPO, to allow WIPO the distribution of their data by WIPO to the interested PatCom Members to broaden the global visibility. PatCom will keep WIPO updated upon this issue.

The following countries have been selected:
Argentina, Brazil, Israel, Mexico, South Africa.

6. Classification Development – IPC Workshop Follow-up

Patric Fievet gave a short information that a meeting including EPO and industry has been performed at Geneva the day before. As more and more countries are concentrating on CPC the next development steps may depend on CPC actions.

All PatCom participants encourage WIPO to continue with IPC workshops as they are a very effective communication platform between all players.

Antonios Farassopoulos said that WIPO is open to continue may be next year.

7. Experiences of WIPO - Commercial Sector Co-Operation

Andrew Czajkowski explained that WIPO now is concentrating on continuity at the different locations by sufficiently prepared personal after the departure of WIPO experts. Details of the program will be discussed in the following ASPI Meeting.

8. Standards Development

Ángel López Solanas summarized the actual activities. His written report is given in **Appendix 2** of the minutes. He announced the next CWS meeting. The invitation will be send out this week.

9. PATENTSCOPE

a) Survey Results, Webinars and Training

Sandrine Ammann gave a ppt-presentation, which will be shown also in the PatCom webpage for members only section. 10 webinars have been given in 2013 some are planned for 2014. In 2014 there will also be a training course at the WIPO headquarters at Geneva.

b) Search Engine Developments

Iustin Diaconescu announced that there are new fields on “Licensing” and “Third party observations” in Patentscope but not for export. *Martine Massiera Della Valle* asked for an extract by the end of the year. The blocking of crawler was shortly discussed. The statistics have been given in ppt (see a)). *Jurjen Dijkstra* stated that this looks strongly like competition to commercial services. *Christoph Mazenc* answered, that WIPO has a respective mandate, but small resources and only a reasonable budget. *Olivier Huc* asked if there are plans to add “Families” and

Christoph Mazenc answered, that this has been asked often but WIPO has it not in the pipeline because of very limited possibilities.

c) Database and Collection Development

Magdalena Zelenkovska showed also some ppt-slides (see a)) and mentioned especially the challenges:

- Delays in update
- Use of automatic procedure where possible
- Not delivered in timely manner
- Large number of data formats

In 2014 new files shall be implemented: NZ, DE, UK. The data-quality project from 2012 will be re-opened.

8. AOB:

- *Georg Schultheiss* informed WIPO about the changes of the President and the Secretary of PatCom at July 1st, 2014
- Next regular WIPO-PatCom Meeting: Details will be organized with the new PatCom Secretary after July 1st, 2014

The meeting adjourned at 12:30 am.

Georg F. Schultheiss
Hon. Secretary of PatCom

Lueneburg, 28.02.2014

Appendix 1

**Agenda for the 12. WIPO-PatCom Meeting
at Geneva on February 25, 2014, 10:00 am**

1. Welcome by the Chair of the Meeting
2. Approval of the Agenda
3. Approval of the Minutes of the Meeting of February 27, 2013
4. Participants Registration
5. Points from the last Meeting:
 - ftp-Server Installation at WIPO, New WIPO-Basis, Status
6. Classification Developments – IPC Workshop Follow-up
7. Experiences of WIPO - Commercial Sector Co-operation
8. Standards Development
9. Patent Scope: a) Survey Results, Webinars and Training
b) Search Engine Developments
c) Database and Collection Developments
10. AOB:
 - a) Organizational Changes at PatCom
 - b) Next Meeting: Details will be organized with the new PatCom Secretary after July 1st, 2014.

Standards Development

PATCOM – WIPO meeting on 25/02/ 2014

Fourth session of the Committee on WIPO Standards (CWS/4)

Invitation circulars for the fourth session of the CWS, which will be held from May 12 to 16, 2014, will be distributed this week.

PatCom will of course receive an invitation to attend the meeting and participate in the discussions.

New WIPO Standard ST.26

The SEQL Task Force has almost completed the discussions to prepare a proposal on the presentation of nucleotide and amino acid sequence listings based on XML.

The draft should be presented for consideration and adoption as a new WIPO Standard ST.26 in May this year. Trans

The TF has been working on this new standard since the beginning of 2011. The TF Leader has been the EPO since the beginning and 14 IPOs nominated representatives to be part of the TF. In addition, in 2012, the EPO, the JPO and the USPTO conducted public consultations on the proposed draft standard.

It will also be necessary to agree on a transition scheme to move from current Standard ST.25 to the new Standard ST.26. Transition recommendations will be discussed by Task Force Members in close collaboration with the PCT, this will need some more time.

The SEQL TF will discuss the transition after the CWS/4 session and, hopefully, present the agreement reached for consideration and approval by the CWS at its fifth session to be held in 2015. Meanwhile, *offices should not implement Standard ST.26 until the transition schema Standard, i.e. ST.25 should continue to be used.*

ST.26 will be an important improvement and step forward for both applicants and offices since it will contribute to the preparation and filing of better and high quality sequence listings that will enable more efficient processes by patent and non-patent interested parties. PCT rules will refer to ST.26 (now ST.25 refers to PCT Administrative Instructions).

Paragraph 2 of draft ST.26 states that the purpose of this Standard is to:

- allow applicants to draw up a single sequence listing in a patent application acceptable for the purposes of both international and national or regional procedures;

- enhance the accuracy and quality of presentations of sequences for easier dissemination, benefiting applicants, the public, and examiners;
- facilitate searching of the sequence data; and
- allow sequence data to be exchanged in electronic form and introduced into computerized databases.

Revision of WIPO Standard ST.14

The ST.14 Task Force is discussing a proposal for the revision of WIPO Standard ST.14 with regard to two aspects:

- Category codes provided in paragraph 14.
- The identification of non-patent literature citations in order to bring WIPO Standard ST. 14 in line with the International Standard ISO 690:2010 (Information and documentation – Guidelines for bibliographic references and citations to information resources).

The main objective of the revision of category codes (first component of the Task) is to introduce a distinction in search reports between documents cited for novelty and documents cited for their relevance to inventive step when taken alone. In practical terms this would mean the replacement of the category “X” with two new categories “N” and “I”, where category “N” would apply for a document showing that the claimed invention cannot be considered novel when the document is taken alone; and category “I” would apply for a document showing that the claimed invention cannot be considered to involve an inventive step when the document is taken alone.

There was a provisional agreement on the replacement of the category “X” with the two new categories “N” and “I” within the TF that was communicated to the CWS last year. However, during the discussion that followed the third session of the CWS, the practical advantages of this change for the whole system were questioned by some TF Members, i.e., the positive balance between the additional value of providing more detailed information in the search reports and the additional burden on the examiners for providing these details, were questioned again by some Task Force members.

Understanding that this issue has an impact on the basis of the proposal, as well as on the mandate given by the Committee, in particular on the convenience of revising category codes, the Task Force will submit the arguments expressed during the discussion for consideration and decision by the CWS.

With regard to the second component of the Task, work will recommence after the fourth session of the CWS on the basis of comments submitted earlier and the results of an analysis presented by the EPO in January 2014.

Patent legal status information

The IB is the leader of the Legal Status TF that was created at the last session of the CWS that was held in 2013. The TF started its work in December last year.

The TF has reached an initial agreement on the scope of the new standard and the procedure for discussion (three phases).

At the CWS/4, the IB will present a progress report of the work done with regard to the development of an international standard to establish a new WIPO standard for the exchange of patent legal status data by industrial property offices.

Survey on application numbering systems

Last year, the IB completed and published the results of a survey on application numbering systems currently used by IPOs. Thirty five offices took part in the survey. Published results included a document containing examples of application and priority application numbers used by offices, including additional information on the codes used for indicating the type of industrial property rights, position of different parts of application number and other relevant remarks. (See [WIPO Handbook, Part 7.2.5](#) and [Part 7.2.6](#).)

At its next session, the CWS will consider for approval a draft questionnaire for conducting a new survey on application and priority application numbers used by the industrial property offices (IPOs) in the past. The survey should be conducted in the second half of this year and completed in the beginning of 2015 for consideration by the CWS at its fifth session.

Information about the entry into the national phase of PCT applications

In May, the IB will present, for consideration by the CWS, a report on the inclusion, in databases, of information about the entry, and, where applicable, the non-entry into the national (regional) phase of published PCT international applications that should be presented every second year. This information is based on the reports prepared by the EPO and by the IB/Patentscope. An updated version of this report is prepared every two years.

New WIPO Standard ST.96

The XMK4IP TF continues its discussions on the Standard ST.96 (“Recommendation for the processing of industrial property information using XML”), which provides XML resources to be used for patent, trademark and industrial design information.

At present, the TF is discussing the completion of Annexes V (the Schema Implementation Rules and Guidelines) and VI (the Transformation Rules and Guidelines). It is expected that the Task Force will be able to present a proposal on Annexes V and VI for consideration and adoption by the CWS in 2015.

The TF is also preparing a revision of the main body and annexes I to IV.

National Collections - Data Coverage

Last Update:2014-02-26

Country	Biblio Data	Abstract	Doc images	OCR (full-text) Indexed	Nb records	Note
Totals					PCT: 2,392,506 Offices: 35,189,627	
PCT	20.10.1978 - 28.02.2014	20.10.1978 - 28.02.2014	2392506	Total records: 2387423 English: 1521011 French: 92104 Spanish: 16892 German: 284882 Korean: 31721 Japanese: 351704 Chinese: 74014 Russian: 13544 Portuguese: 1551	2,392,506	
Argentina	12.02.1965 - 30.05.2013	01.11.1990 - 30.05.2013	8608		134,940	
Bahrain	10.03.1957 - 29.09.2005	10.03.1957 - 29.09.2005			1,411	
Brazil	26.04.1972 - 05.06.2013	26.04.1989 - 05.06.2013	207771	Total records: 206716 Portuguese: 206716	534,824	
Canada	12.08.1869 - 17.11.2013	09.04.1875 - 17.11.2013		Total records: 1025244 English: 985488 French: 39756	1,854,091	
Chile	08.01.2005 - 25.10.2008	08.01.2005 - 24.05.2008			3,826	
China	05.01.1989 - 10.10.2013	05.01.1989 - 10.10.2013		Total records: 3277849 Chinese: 3277849	3,340,292	
Colombia	14.02.1995 - 21.12.2010	14.02.1995 - 21.12.2010	401	Total records: 390 Spanish: 390	12,028	
Costa Rica	03.10.0108 - 01.02.2013	03.10.0108 - 01.02.2013			6,910	
Cuba	13.03.1968 - 16.03.2012	13.03.1968 - 16.03.2012	1823	Total records: 1747 Spanish: 1747	2,797	
Dominican Rep.	01.11.2001 - 16.09.2012	01.11.2001 - 16.09.2012	1590	Total records: 1390 Spanish: 1390	2,361	
Ecuador	02.10.1990 - 29.08.2009	02.10.1990 - 29.08.2009			2,858	
Egypt	27.01.2004 - 03.04.2012	27.01.2004 - 03.04.2012			2,261	
El Salvador	11.03.1970 - 16.02.2013	11.03.1970 - 16.02.2013			1,582	
Estonia	18.10.1994 -				6,471	

	17.01.2012					
Guatemala	22.03.1434 - 14.04.2011	22.03.1434 - 14.04.2011			5,949	
Honduras	14.01.2005 - 23.07.2010	28.01.2005 - 23.07.2010			286	
Israel	02.01.1900 - 01.08.2013	17.07.2000 - 01.08.2013	103050	Total records: 90838 English: 90838	171,722	
Japan	09.01.1993 - 31.01.2014	09.01.1993 - 31.01.2014		Total records: 7259833 Japanese: 7259833	7,721,756	
Jordan	31.12.1899 - 25.06.2012	31.12.1899 - 25.06.2012			1,805	
Kenya	12.05.1996 - 01.02.2011	12.05.1996 - 01.02.2011			373	
Mexico	02.12.1991 - 13.09.2011	02.12.1991 - 13.09.2011	142338	Total records: 138592 Spanish: 138592	216,229	
Morocco	07.07.1977 - 02.03.2012	02.04.1999 - 02.03.2012	9045	Total records: 8741 French: 8741	13,630	
Nicaragua	06.11.2003 - 25.03.2009	06.11.2003 - 25.03.2009			197	
Panama	10.03.1990 - 28.07.2010	10.03.1990 - 28.07.2010			2,312	
Peru	22.02.1989 - 01.05.2011	22.02.1989 - 01.05.2011			6,415	
Republic of Korea	24.10.1973 - 31.08.2013	24.10.1973 - 31.08.2013			1,873,939	
Russian Federation	16.02.1993 - 11.09.2013	16.02.1993 - 11.09.2013		Total records: 546069 Russian: 546069	745,113	
Russian Federation (USSR data)	01.03.1919 - 11.09.2013	01.12.1960 - 11.09.2013	1369053		1,408,617	
Singapore	29.11.1995 - 29.06.2013	30.04.2011 - 29.06.2013			97,654	
South Africa	27.01.1983 - 31.03.2011	31.01.1985 - 31.07.2008	89690	Total records: 85576 English: 85576	129,037	
Spain	15.03.1827 - 09.03.2013	17.05.1919 - 16.07.2011	491648	Total records: 486075 Spanish: 486075	1,396,710	
United Arab Emirates	02.07.2002 - 03.01.2013	02.07.2002 - 03.01.2013			234	
United States of America	01.08.1790 - 07.02.2014	12.08.1863 - 07.02.2014		Total records: 6387084 English: 6387084	10,409,050	
Uruguay	17.08.1990 - 01.06.2013	17.08.1990 - 01.06.2013			6,960	
Viet Nam	26.05.1997 - 27.04.2010	26.05.1997 - 26.09.2007		Total records: 7144	20,476	
ARIPO	04.07.1985 - 29.07.2008	04.07.1985 - 29.07.2008	1676	Total records: 1671 English: 1671	1,868	

European Patent Office	21.12.1978 - 28.11.2013	21.12.1978 - 28.11.2013	Total records: 1774449 English: 1235363 French: 123326 German: 415760	2,660,137	
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